

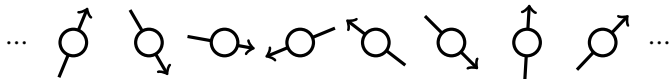
Matrix Product State Methods for Excitations

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5 October 2023

Introduction: Quantum many-body physics

Consider an N -body system:

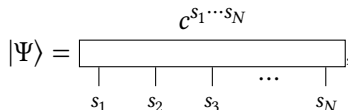


Classical: $\mathcal{O}(N)$ DOFs.

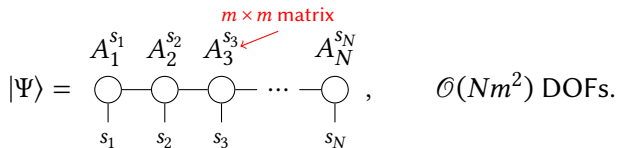
Quantum: $\mathcal{O}(\exp N)$ DOFs.

Introduction: Matrix product states

General pure state:

$$|\Psi\rangle = \overbrace{\phantom{|\Psi\rangle = \text{[]}}}^{c^{s_1 \dots s_N}}, \quad \mathcal{O}(\exp N) \text{ DOFs.}$$


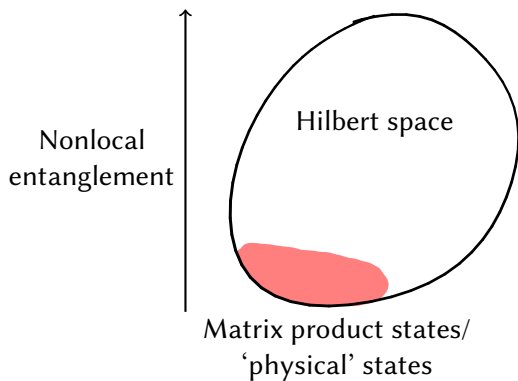
Compress as a **matrix product state**:

$$|\Psi\rangle = \begin{matrix} & & m \times m \text{ matrix} & & \\ & A_1^{s_1} & A_2^{s_2} & A_3^{s_3} & \dots & A_N^{s_N} \\ & \circ & \circ & \circ & \dots & \circ \\ & | & | & | & & | \\ & s_1 & s_2 & s_3 & & s_N \end{matrix}, \quad \mathcal{O}(Nm^2) \text{ DOFs.}$$


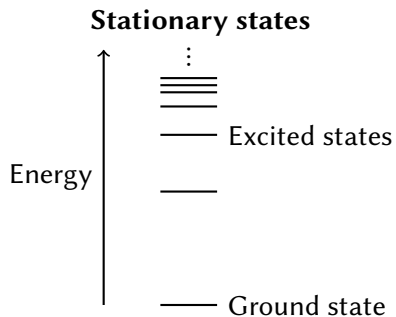
Good at representing locally-entangled ('physical') states.

Controlled by m .

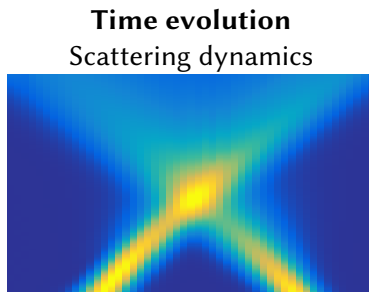
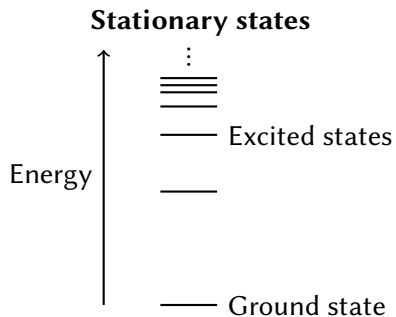
Introduction: 'Local entanglement'



Low-temperature/energy physics



Low-temperature/energy physics



Static vs dynamic methods

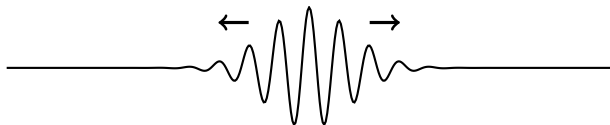
Obtain ground states using standard methods (e.g. DMRG).

Two methods for analysing excitations:

1 Static: Solve for the stationary states of the excitations.

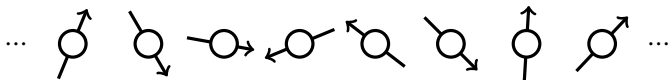


2 Dynamic: Time evolution of non-stationary excitations.

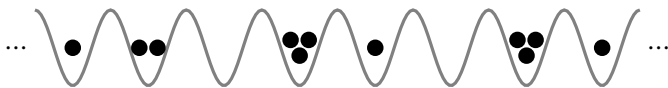


Versatility: Lattice models

■ Spin chains



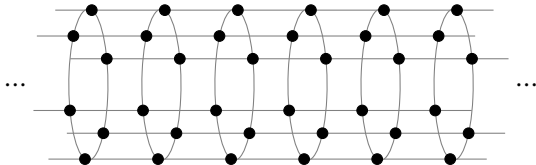
■ Bose-Hubbard models



■ Lattice gauge theories



2D models?



We can also study 2D models by wrapping them on a cylinder.

Limited to small circumferences ($\lesssim 10$ sites).

Infinite matrix product states

$$|\Psi\rangle = \begin{array}{ccccccc} & A_1^{s_1} & A_2^{s_2} & A_3^{s_3} & \dots & A_N^{s_N} & \\ & \circ & \circ & \circ & \dots & \circ & \\ & | & | & | & & | & \\ & & & & & & \end{array} .$$

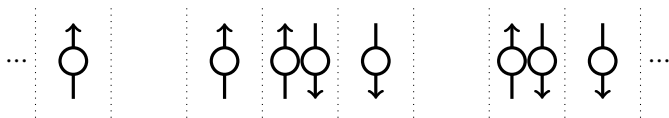
Enforce translation invariance and take $N \rightarrow \infty$,

$$|\Psi\rangle = \begin{array}{ccccccccc} & A^{s_1} & A^{s_2} & A^{s_3} & A^{s_4} & A^{s_5} & & & \\ & \circ & \circ & \circ & \circ & \circ & & & \\ & | & | & | & | & | & & & \\ & & & & & & & & \end{array} .$$

Local/global observables can be calculated by fixed-point relations.

No finite-size effects: only finite entanglement (bond dimension).

(Fermi-)Hubbard model

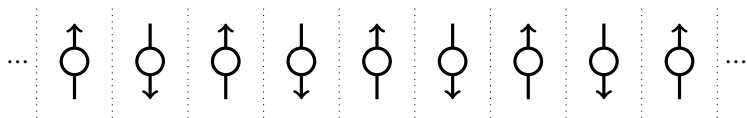


$$H = -t \sum_{\sigma,j} \left(c_{\sigma,j} c_{\sigma,j+1}^{\dagger} + \text{H.c.} \right) + U \sum_j n_{\uparrow,j} n_{\downarrow,j}.$$

Exactly solvable using the Bethe ansatz.

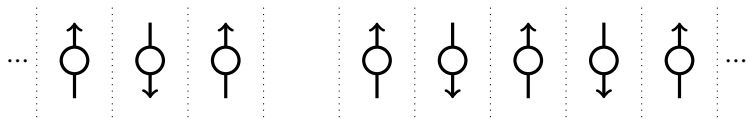
Hubbard model: Spin-charge separation

We look at the unpolarised state at half filling:



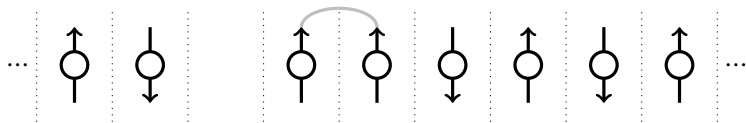
Hubbard model: Spin-charge separation

Remove a fermion from the lattice:



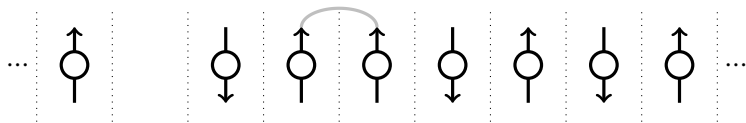
Hubbard model: Spin-charge separation

Move hole left: spin domain wall remains fixed.



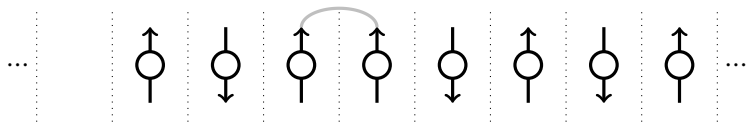
Hubbard model: Spin-charge separation

Move hole left: spin domain wall remains fixed.



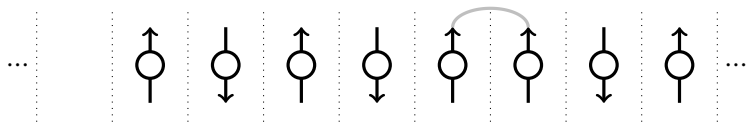
Hubbard model: Spin-charge separation

Move hole left: spin domain wall remains fixed.



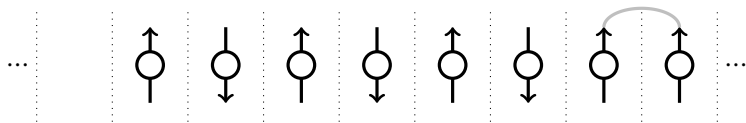
Hubbard model: Spin-charge separation

Move the domain wall right by spin exchange.



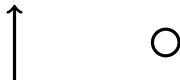
Hubbard model: Spin-charge separation

Move the domain wall right by spin exchange.



Hubbard model: Spin-charge separation

Elementary excitations are isolated spin and charge DOFs.



Example of **fractionalisation**.

Related to topological order and anyons (fractionalised statistics).

Aside: Topologically nontrivial excitations

We use a symmetric MPS with fixed particle no and spin projection.

The unpolarised state at half filling needs a unit cell of two sites:

$$|\Psi\rangle = \dots \text{---} \overset{A_1}{\circ} \text{---} \overset{A_2}{\circ} \text{---} \overset{A_1}{\circ} \text{---} \overset{A_2}{\circ} \text{---} \overset{A_1}{\circ} \text{---} \overset{A_2}{\circ} \text{---} \dots .$$

The reason is purely numeric:

- Spin-1/2 particles have a particle QN 1 and spin proj. QN ± 0.5 .
- We need a particle QN 1 and spin proj. QN 0 per site.

This leads to numerical breaking of translation symmetry.

Aside: Topologically nontrivial excitations

Topologically trivial excitations:

$$|\Phi\rangle = \sum_n e^{ikn} \left[\begin{array}{cccccc} & A_1 & A_2 & B_1 & A_2 & A_1 & A_2 & \\ & \circ & \circ & \bullet & \circ & \circ & \circ & \\ & | & | & | & | & | & | & \\ & & & n & & & & \\ \dots & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \dots \\ & & & & & & & \\ & A_1 & A_2 & A_1 & B_2 & A_1 & A_2 & \\ & \circ & \circ & \circ & \bullet & \circ & \circ & \\ & | & | & | & | & | & | & \\ & & & n & & & & \\ + \dots & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \dots \\ & & & & & & & \end{array} \right].$$

Can only have integer combinations of underlying particle QNs.

Aside: Topologically nontrivial excitations

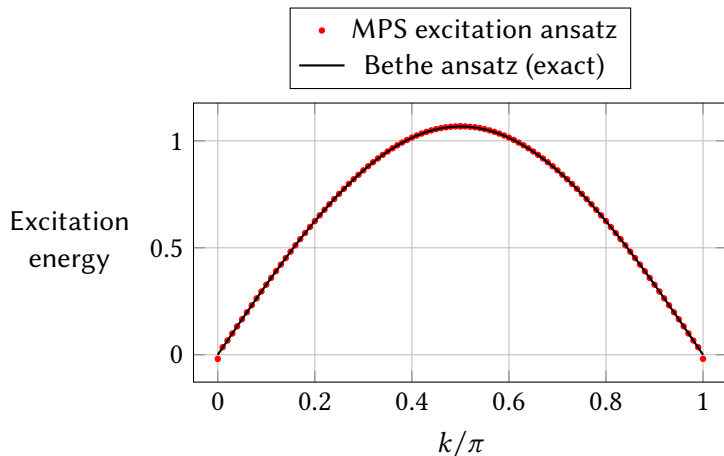
Topologically **non**trivial excitations:

$$|\Phi\rangle = \sum_n e^{ikn} \left[\begin{array}{cccccc} & A_1 & A_2 & B_1 & A_1 & A_2 & A_1 & \\ & \circ & \circ & \bullet & \circ & \circ & \circ & \\ & | & | & | & | & | & | & \\ & & & n & & & & \\ \dots & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \dots \\ & & & & & & & \\ & A_1 & A_2 & A_1 & B_2 & A_2 & A_1 & \\ & \circ & \circ & \circ & \bullet & \circ & \circ & \\ & | & | & | & | & | & | & \\ & & & n & & & & \\ + \dots & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \dots \\ & & & & & & & \end{array} \right].$$

We can now represent fractional excitations (i.e. spinons, chargons).

Hubbard model: Spin energies

Using $t = 1, U = 5$



Compare V. Zauner-Stauber et al., Phys. Rev. B **97**, 235155 (2018).

Aside: Expectation values of the excitation ansatz

$$|\Phi\rangle = \sum_n e^{ikn} \dots \text{---} \begin{array}{c} A \\ \circ \\ | \\ \text{---} \end{array} \text{---} \begin{array}{c} A \\ \circ \\ | \\ \text{---} \end{array} \text{---} \begin{array}{c} B \\ \bullet \\ | \\ \text{---} \\ n \end{array} \text{---} \begin{array}{c} A \\ \circ \\ | \\ \text{---} \end{array} \text{---} \begin{array}{c} A \\ \circ \\ | \\ \text{---} \end{array} \text{---} \dots .$$

For system size L :

$$\langle \Phi | \Phi \rangle = L, \quad \langle \Phi | H | \Phi \rangle = EL^2 + \Delta L$$

$$\Rightarrow \frac{\langle \Phi | H | \Phi \rangle}{\langle \Phi | \Phi \rangle} = EL + \Delta.$$

(E : background state energy density, Δ : excitation energy.)

Aside: Expectation values of the excitation ansatz

Can also apply to higher powers:

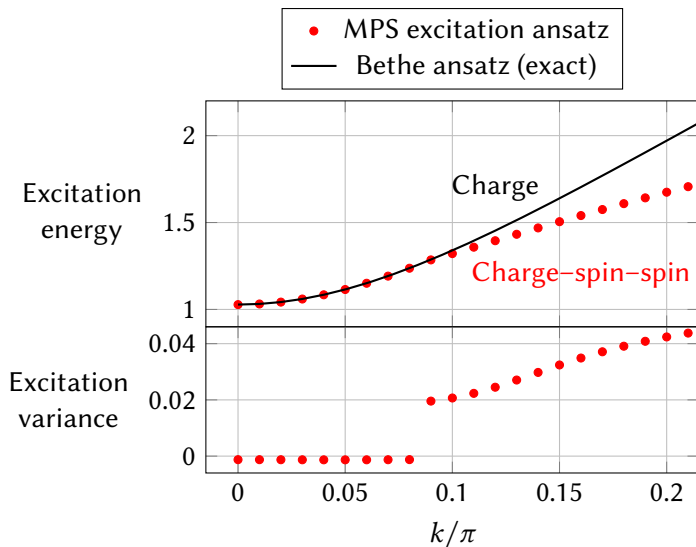
$$\frac{\langle \Phi | H^2 | \Phi \rangle - \langle \Phi | H | \Phi \rangle^2}{\langle \Phi | \Phi \rangle} = \sigma_E^2 L + \sigma_\Delta^2.$$

(σ_E^2 : background state variance density, σ_Δ^2 : excitation variance.)

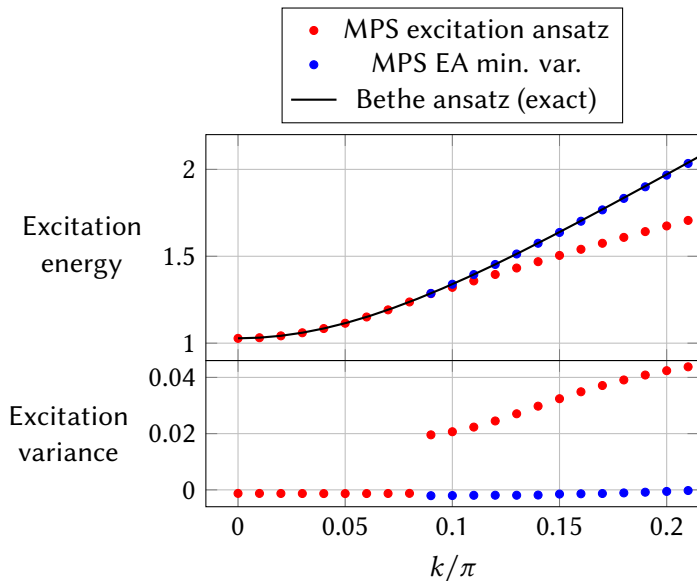
The excitation ansatz is *not* a variational ansatz:

- The excitation energy Δ may be smaller than the true value.
- The excitation variance σ_Δ^2 can be negative!

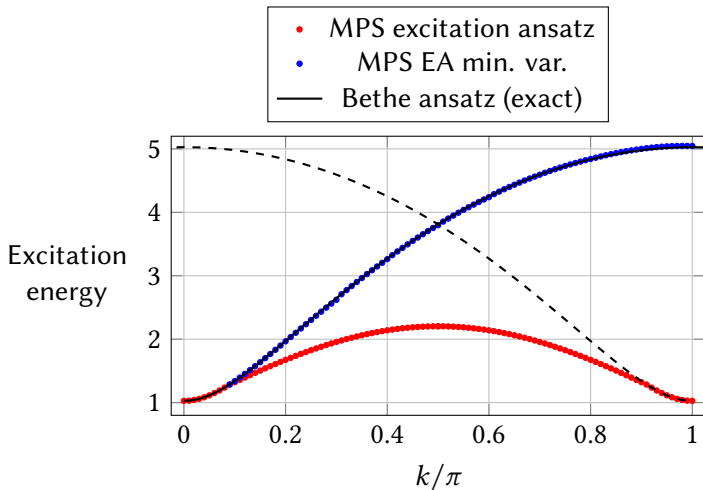
Hubbard model: Charge energies



Minimising energy variance



Minimising energy variance



Increasing accuracy

There are two main factors which affect the accuracy of the EA:

- 1** The accuracy of the background wavefunction.
Can be improved by using a larger bond dimension.
- 2** The size of the ‘window’:

$$|\Phi_k[\mathbf{B}]\rangle = \sum_n e^{ikn} \dots \text{---} \underset{\substack{\uparrow \\ A}}{\bigcirc} \text{---} \underset{\substack{\uparrow \\ B_1}}{\bullet} \text{---} \underset{\substack{\uparrow \\ B_2}}{\bullet} \text{---} \underset{\substack{\uparrow \\ B_3}}{\bullet} \text{---} \underset{\substack{\uparrow \\ A}}{\bigcirc} \text{---} \dots .$$

We can optimise the window one site at a time, like DMRG.

Usually (1) will be the limiting factor, and a 1-site window is enough (unless the excitation is ‘broader’ than the correlation length).

Time evolution

$$|\Psi\rangle = \begin{array}{ccccccc} & A_1 & A_2 & A_3 & & \dots & A_N \\ & \circ & \circ & \circ & & \dots & \circ \\ & | & | & | & & & | \end{array} .$$

Time evolution is described by Schrödinger's equation

$$\frac{d}{dt} |\Psi(t)\rangle = -iH|\Psi(t)\rangle .$$

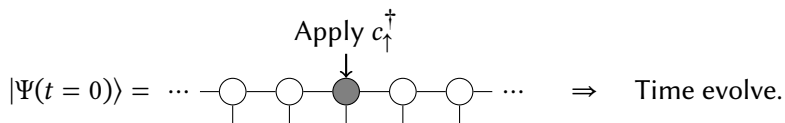
Approximate using time-dependent variational principle (TDVP)

$$\frac{d}{dt} A_n(t) = -iH_n^{\text{eff}} A_n(t), \quad n = 1, \dots, N.$$

Sweep across evolving each A -matrix at a time: similar to DMRG.

Infinite boundary conditions

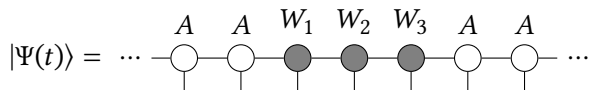
Usual procedure:



Breaks translation invariance.

We could use a finite system size.

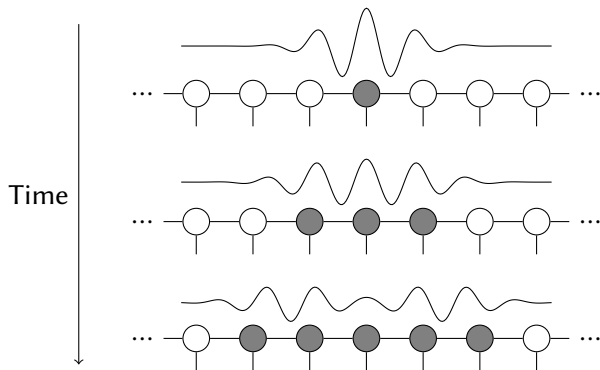
Or we can use a finite window with **infinite boundary conditions**.



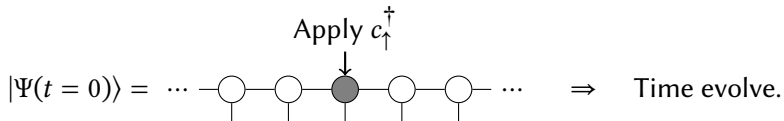
Only evolve the window: the boundaries remain fixed.

Dynamical window expansion

We can expand the window as the wavefront spreads out:



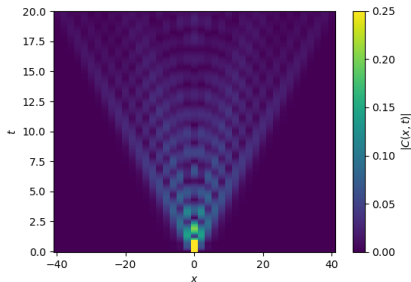
Two-point correlation functions



Can obtain two-point correlation function:

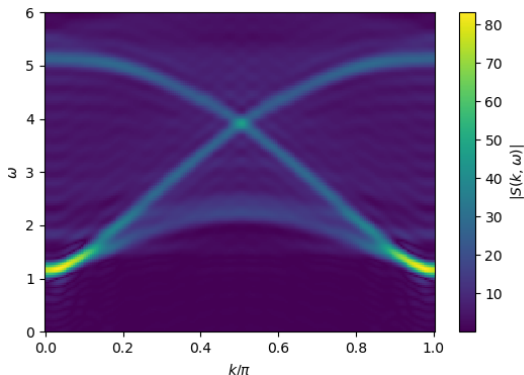
$$C(x, t) = \langle \Psi | c_{\uparrow, x} e^{-iHt} c_{\uparrow, 0}^\dagger | \Psi \rangle = \langle \Psi(0) | T^{-x} | \Psi(t) \rangle.$$

(T^x : translation operator by x sites.)



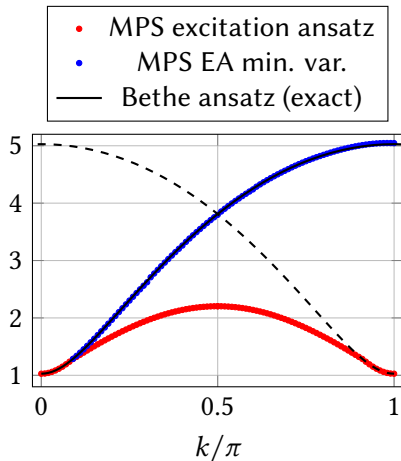
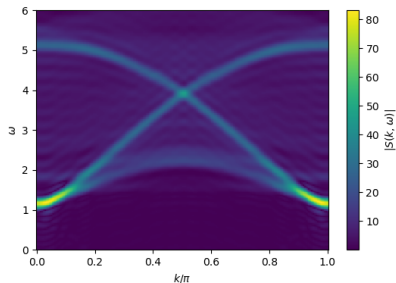
Spectral functions

$$C(x, t) \xrightarrow{\text{Fourier transform}} S(k, \omega).$$



(Can use smoothing/extrapolation to reduce finite-time effects.)

Spectral function vs excitation ansatz



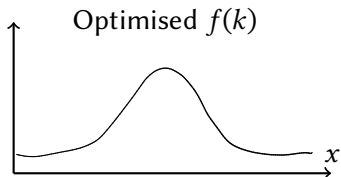
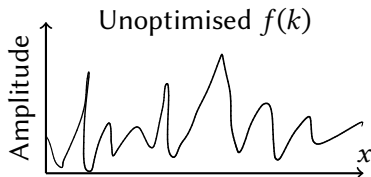
Real-space wavepackets

$$|\Phi_k[B_k]\rangle = \sum_n e^{ikn} \dots \text{---} \underset{n}{\overset{A}{\circ}} \text{---} \underset{\quad}{\overset{A}{\circ}} \text{---} \underset{n}{\overset{B}{\bullet}} \text{---} \underset{\quad}{\overset{A}{\circ}} \text{---} \underset{\quad}{\overset{A}{\circ}} \text{---} \dots .$$

Form real-space wavepackets:

$$|\Psi\rangle = \int_0^{2\pi} f(k) |\Phi_k(B_k)\rangle dk.$$

Optimise $f(k)$ to localise wavepacket.

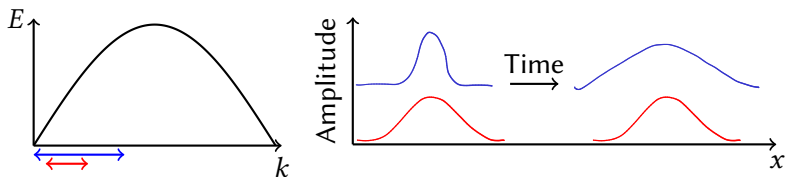


Coherent wavepackets

Sample components around a certain momentum:

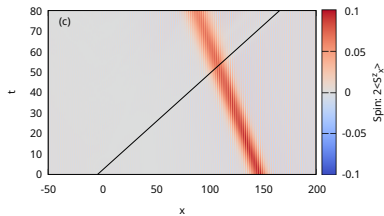
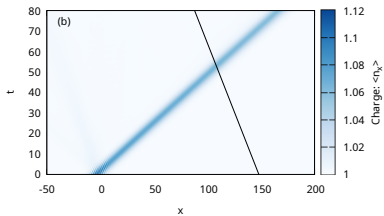
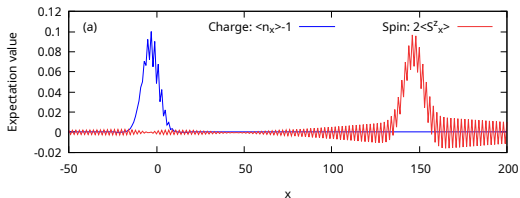
$$f(k) \rightarrow e^{-(k-k_0)/2\sigma^2} f(k).$$

Group velocity is proportional to slope of dispersion relation.

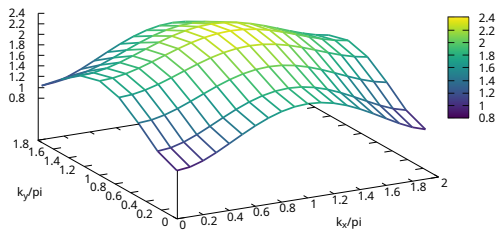
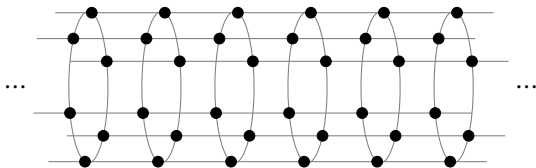


Need to balance wavepacket size and dispersion over time (uncertainty principle).

Real-space wavepackets: Hubbard model



What about 2D?



(Transverse-field Ising model, width 8.)

Conclusion

Static methods:

- Directly target momentum-eigenstates.
- Fractional excitations.

Dynamic methods:

- Free excitation of single excitations.
- Scattering of multiple wavepackets.

Code available: <https://github.com/mptoolkit>.

Documentation: <https://mptoolkit.qusim.net>.